

**From:** (b) (6)  
**To:** (b) (6)  
**Cc:** (b) (6)  
**Subject:** RE: (b) (6) (article up for publication)  
**Date:** Monday, November 09, 2009 1:07:00 PM

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We are now in receipt of your document for prepublication review.

We will review soonest and advise.

No response from us does NOT indicate review approval.

-----Original Message-----

**From:** (b) (6)  
**Sent:** Friday, November 06, 2009 5:42 PM  
**To:** (b) (6) **To:** Pre-publication Review  
**Cc:** (b) (6)  
**Subject:** (b) (6) (article up for publication)

(b) (6)

I hope you have been doing well. Unfortunately for the reading world, I have yet another article for your review, this one is up for publication in (b) (4) I would be grateful, once more, for your review and approval.

Thank you, again, for your continued assistance.

Best Regards,

(b) (6)

**From:** (b) (6)  
**To:** [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** RE: (b) (6) (article up for publication)  
**Date:** Friday, January 22, 2010 5:38:11 PM

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To: Pre-publication Review

Thank you, very much. Have an enjoyable weekend.

-----Original Message-----

**From:** (b) (6) [REDACTED] From: Pre-publication Review  
**Sent:** Friday, January 22, 2010 5:04 PM  
**To:** (b) (6) [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** FW: (b) (6) (article up for publication)

(b) (6)  
[REDACTED]

We reviewed your attached paper (b) (4) [REDACTED] As written, it contains no sensitive information and is appropriate for unlimited/unrestricted public distribution.

Treasury's ethics office advises that although not required of former Treasury employees, it is suggested that a disclaimer, such as - "The views expressed herein are solely those of the author" - be considered if the topic of the article is/may be controversial.

Please contact us if you have any questions.

-----Or  
**From:** (b) (6) [REDACTED]  
**Sent:** Friday, November 06, 2009 5:42 PM  
**To:** (b) (6) [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** (b) (6) (article up for publication)

To: Pre-publication Review

(b) (6)  
[REDACTED]

I hope you have been doing well. Unfortunately for the reading world, I have yet another article for your review, this one is up for publication (b) (4) [REDACTED] I would be grateful, once more, for your review and approval.

Thank you, again, for your continued assistance.

Best Regards,

(b) (6)  
[REDACTED]

(b) (6)



From:  
Sent:  
To:  
Cc:  
Subject:  
Attachments:

(b) (6)

Tuesday, January 15, 2013 6:27 PM

From: Pre-publication Review

(b) (6)

RE: Submission for pre-publication review

(b) (4)

(b) (6)

We reviewed your attached paper (your latest revision dated January 9, 2013). As written, it contains no sensitive information and is appropriate for unlimited/unrestricted public distribution.

Treasury's ethics office advises that although not required of former Treasury employees, it is suggested that a disclaimer, such as - "The views expressed herein are solely those of the author" - be considered if the topic of the article is/may be controversial.

Please contact us if you have any questions.

-----Original Message-----

From: (b) (6)

Sent: Wednesday, January 09, 2013 7:08 PM

To: (b) (6)

Subject: Submission for pre-publication review

To: Pre-publication Review

Attached is my proposed blog review for Amazon.com of a video that's been in the public domain since November 1, 2012. I would appreciate acknowledgement of receipt and an anticipated date of completion of the pre-publication review. If there's any problem with opening the attachment, please advise me.

Thank you,

(b) (6)

(b) (6)

From:  
Sent:  
To:  
Cc:  
Subject:  
Attachments:

(b) (6)  
Monday, May 02, 2011 7:11 AM  
(b) (6)  
FW: Short Piece  
(b) (4)

From: Pre-publication Review

(b) (6)

We reviewed your attached paper (your latest revision dated April 29, 2011). As written, it contains no sensitive information and is appropriate for unlimited/unrestricted public distribution.

Please contact us if you have any questions.

-----Original Message-----

From: (b) (6)  
Sent: Friday, April 29, 2011 7:18 AM  
To: (b) (6)  
Subject: Short Piece To: Pre-publication Review

(b) (6)

I am attaching a short ten pager I've been asked to write (b) (4). It doesn't involve any of the work I ever did (b) (6) (which I don't reference once), but I wanted to send it your way, anyway.

Best,

(b) (6)



**From:** (b) (6)  
**To:** (b) (6)  
**Cc:** From: Pre-publication Review  
**Subject:** Treasury Response - June 3, 2016 Pre-Publication Submission (b) (4)  
**Date:** Friday, June 03, 2016 1:58:00 PM  
**Attachments:** (b) (4)

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Treasury reviewed your draft document (attached) - latest revision dated May 23, 2016 (b) (4)  
(b) (4) As written, the Department of the Treasury does not object to the draft's publication.

This pre-publication review determination is not an endorsement of the writer's views nor confirmation of the accuracy of the information submitted for review.

Thank you for fulfilling your pre-publication review obligation.

Please address all correspondence, including emails related to this review, to (b) (6)

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Pre-publication Review  
(b) (6)

-----Original Message-----

**From:** (b) (6)  
**Sent:** Friday, June 03, 2016 9:06 AM  
**To:** (b) (6) **To:** Pre-publication Review  
**Subject:** Seeking pre-publication approval

Good morning,

I am writing to request publication approval for this article, which will be published by my employer and possibly (in the same format) in an industry journal. The article is influenced only by public sources and citations are provided. We are seeking to publish this within three weeks and would kindly request your approval sometime before Monday June 14, if possible.

I was a Treasury (b) (6) employee (b) (6)

Thank you,

(b) (6)

--

(b) (6)

(b) (6)



From:  
Sent:  
To:  
Cc:  
Subject:

(b) (6)

Tuesday, January 15, 2013 8:06 PM

(b) (6)

To: Pre-publication Review

Re: Submission for pre-publication review

Thanks (b) (6) I will include a disclaimer. I was planning to anyway.

(b) (6)

(b) (6)

Pre-publication Review

On Jan 15, 2013, at 6:27 PM, (b) (6) wrote:

(b) (6)

> We reviewed your attached paper (your latest revision dated January 9, 2013). As written, it contains no sensitive information and is appropriate for unlimited/unrestricted public distribution.

>

> Treasury's ethics office advises that although not required of former Treasury employees, it is suggested that a disclaimer, such as - "The views expressed herein are solely those of the author" - be considered if the topic of the article is/may be controversial.

>

> Please contact us if you have any questions.

>

>

> -----Original Message-----

> From (b) (6)

> Sent: Wednesday, January 09, 2013 7:08 PM

> To: (b) (6)

To: Pre-publication Review

> Subject: Submission for pre-publication review

>

> Attached is my proposed blog review for Amazon.com of a video that's been in the public domain since November 1, 2012. I would appreciate acknowledgement of receipt and an anticipated date of completion of the pre-publication review. If there's any problem with opening the attachment, please advise me.

>

> Thank you.

(b) (6)

>

(b) (6)

(b) (4)



**From:**

(b) (6)

**Sent:**

Wednesday, January 09, 2013 11:31 PM

**To:**

(b) (6)

**Subject:**

Pre-pub review: addendum

To: Pre-Publication Review

Please disregard the "orphan" following the text. It's a deletion gone astray.

(b) (6)

From: (b) (6)  
Sent: Friday, July 01, 2016 5:29 PM  
To: (b) (6) To: Pre-publication Review  
Cc:  
Subject: Re: Request for Sourcing RE: Submission for prepub review

The sourcing is exclusively my recollection which is thorough because I was (b) (6)  
(b) (4), (b) (6), (b) (7)(E)

I have intentionally left out details such as (b) (4)  
(b) (4), (b) (6)

I am prepared to swear and affirm that my proposed article accurately, if discretely, reports the events regarding (b) (4).

(b) (4), (b) (7)(E)  
(b) (4), (b) (7)(E) No individuals have been named in order that no protected personal information could be at issue.

(b) (6)

Pre-publication Review

On Friday, July 1, 2016 3:22 PM, (b) (6) wrote:

In an effort to expedite review, please provide sourcing related to the section concerning the (b) (4) detailed on pages 4 and 5 and sourcing of the wording (b) (4)

Regards,

Treasury Pre-publication Review

(b) (6)

-----  
From (b) (6)

Sent: Friday, July 01, 2016 2:14 PM

To: (b) (6)

Cc:

Subj

From: Pre-publication Review

Cc: Pre-publication Review

This confirms receipt of your 30 JUN 2016 pre-publication submission (b) (4) to the Department of the Treasury.

We note your request for expedited review and will process within the limits of our ability to meet preferred deadlines.

For your reference, please address all correspondence, including emails related to pre-publication reviews or questions about pre-publication responsibilities, only to

(b) (6)

Regards,

Treasury Pre-publication Review

(b) (6)

-----Original Message-----

From (b) (6)

Sent: Thursday, June 30, 2016 7:15 PM

To: (b) (6)

Subject: Submission for prepub review

To: Pre-publication Review

Attached is a brief draft article on (b) (4) that I am submitting for prepublication review. If cleared, the piece would be published by a think tank and/or journal. It is entirely in my capacity as a private citizen and would not have any USG imprimatur. As the author, I would be identified as (b) (6)

Due to the currency of issues (b) (4) I am requesting an expedited review.

Thank you.

(b) (6)

**From:** (b) (6)  
**To:** (b) (6)  
**Cc:** (b) (6)  
**Subject:** Treasury Response - July 2015 Pre-Publication Submission (b) (4)  
**Date:** Monday, July 27, 2015 12:47:00 PM  
**Attachments:** (b) (4)

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Treasury reviewed your draft publication (attached) - latest revision dated July 1, 2015  
(b) (4) As written, the Department of the  
Treasury does not object to the draft's publication.

This pre-publication review determination is not an endorsement of the writer's views nor confirmation of the accuracy of the information submitted for review.

Thank you for fulfilling your pre-publication review obligation.

Please address all correspondence, including emails related to this review, to (b) (6)

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Pre-publication Review  
(b) (6)

From:

(b) (6)

Sent:

Wednesday, July 01, 2015 12:23 PM

To:

(b) (6)

To: Pre-publication  
Review

Subject:

Request for pre-publication clearance

Attachments:

(b) (4)

(b) (6)

Thank you for taking the time to speak with me in May about the pre-publication clearance process for former Treasury employees. My apologies for the long delay in getting back to you. As we discussed, I am working on a publication - ultimately which would be released by (b) (6) which is related to measuring the sanctions risk associated with foreign jurisdictions. Attached is a draft of the publication, with extensive citations for the statements and ideas in the outline. As you will see, none of the ideas are informed by my tenure at Treasury and instead are driven completely by public sources.

Thank you in advance for your time in reviewing this draft publication.

Cordially,

(b) (6)